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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/616,082

07/08/2003

Stephen Hamilton

GFI/107

CONFIRMATION NO. 9644

FORMALITIES LETTER

OC000000011040276

1473 FISH & NEAVE 1251 AVENUE OF THE AMERICAS 50TH FLOOR NEW YORK, NY 10020-1105

Date Mailed: 10/16/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 8, 9, 10, 12AB, 18, 19, 20, 21, 22.
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 23.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604

(September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.



Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

Effective OCTOBER 1, 2003, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

See www.uspto.gov for complete FY 2003 and FY-2004 Fee Schedules

* Indicates fees remain at FY 2003 amount

		amount
DESCRIPTION	FY 2003	FY 2004
	\$750	\$770
Basic filing fee - Utility Basic filing fee - Utility (Small Entity)	\$375	\$385
Independent claims in excess of three	\$84	\$86
Independent claims in excess of three (Small Entity)	\$42	\$43
Claims in excess of twenty	\$18	\$18*
Claims in excess of twenty Claims in excess of twenty (Small Entity)	\$9	\$9*
Claims in excess of twenty (Smail Entity)	\$280	\$290
Multiple dependent claim	\$140	\$145
Multiple dependent claim (Small Entity)	\$130	\$130
Surcharge - Late filing fee Surcharge - Late filing fee (Small Entity)	\$65	\$65
Surcharge - Late limity fee (Small Entity)	\$330	\$340
Design filing fee	\$165	\$170
Design filing fee (Small Entity)	\$520	\$530
Plant filing fee	\$260	\$265
Plant filing fee (Small Entity)	\$750	\$770
Reissue filing fee	\$375	\$385
Reissue filing fee (Small Entity)	\$84	\$86
Reissue independent claims	\$42	\$43
Reissue independent claims (Small Entity)	\$18	\$18*
Reissue claims in excess of twenty	\$9	\$9*
Reissue claims in excess of twenty (Small Entity)	\$160	\$160*
Provisional application filing fee	\$80	\$80*
Provisional application filing fee (Small Entity)	\$50	\$50*
Surcharge - Late provisional filing fee	\$25	\$25*
Surcharge - Late provisional filing fee (Small Entity)	\$130	\$130*
Non-English Specification	\$110	
Extension - First month	\$55	
Extension - First month (Small Entity)	\$410	
Extension - Second month	\$205	
Extension - Second month (Small Entity)	\$930	<u> </u>
Extension - Third month	\$465	
Extension - Third month (Small Entity)	\$1,450	
Extension - Fourth month	\$725	
Extension - Fourth month (Small Entity)	\$1,970	
Extension - Fifth month	\$985	
Extension - Fifth month (Small Entity)	\$130	
Petition	\$50	
Processing returned checks		1

For further fee information, please call (703-308-HELP)